

Sector 11

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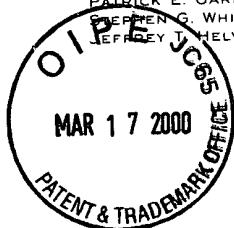
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March 17, 2000

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Assistant Commissioner for Patents  
Washington, D.C. 20231

**Box Missing Parts**

Re: U.S. Utility Patent Application  
Appl. No. 09/449,631; Filed: November 30, 1999  
For: **Ordered Molecular Presentation of Antigens, Method of  
Preparation and Use**  
Inventors: Renner *et al.*  
Our Ref: 1700.0030002/JAG/SGW

Sir:

In reply to the "Notice to File Missing Parts of Application--Filing Date Granted," dated January 6, 2000, Applicants submit the following documents for appropriate action by the U.S. Patent and Trademark Office:

1. PTO Fee Transmittal Form PTO/SB/17 (*in duplicate*);
2. Copy of the Notice to File Missing Parts;
3. Original Declaration for Patent Application, executed by the inventors;
4. An original executed Power of Attorney from Assignee;
5. Certificate Under 37 C.F.R. § 3.73(b) with copy of Assignment attached;
6. One (1) return postcard; and

Assistant Commissioner for Patents  
March 17, 2000  
Page 2

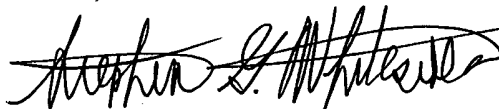
7. Our Check No. 26943 for \$65.00 to cover the Surcharge for late filing of Declaration (37 C.F.R. § 1.16).

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Stephen G. Whiteside  
Attorney for Applicants  
Registration No. 42,224

Enclosures

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL**

for FY 2000

Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT

(\$ 65.00)

**Complete if Known**

|                        |                      |
|------------------------|----------------------|
| Application Number     | 09/449,631           |
| Filing Date            | November 30, 1999    |
| First Named Inventor   | Wolfgang A. Renner   |
| Examiner Name          | To Be Assigned       |
| Group / Art Unit       | 1646                 |
| Attorney Docket Number | 1700.0030002/JAG/SGW |

**METHOD OF PAYMENT (check one)**

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number

19-0036

Deposit Account Name

Sterne, Kessler, Goldstein &amp; Fox P.L.L.C.

☐ Charge Any Additional Fee Required  
Under 37 CFR §§ 1.16 and 1.17

2. ☒ Payment Enclosed: ☒ Check ☐ Money Order ☒ Other\*  
\*Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.

**FEE CALCULATION****1. BASIC FILING FEE**

| Large Fee Code    | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description        | Fee Paid |
|-------------------|-----------------|----------------|-----------------|------------------------|----------|
| 101               | 690             | 201            | 345             | Utility filing fee     |          |
| 106               | 310             | 206            | 155             | Design filing fee      |          |
| 107               | 480             | 207            | 240             | Plant filing fee       |          |
| 108               | 690             | 208            | 334             | Reissue filing fee     |          |
| 114               | 150             | 214            | 75              | Provisional filing fee |          |
| SUBTOTAL (1) (\$) |                 |                |                 |                        | 0.00     |

**2. EXTRA CLAIM FEES**

| Extra                 | Fee from below | Fee Paid |
|-----------------------|----------------|----------|
| Total Claims - 20** = | X              |          |
| Indep. Claims - 3** = | X              |          |
| Multiple Dependent    |                |          |

\*\* or number previously paid, if greater; For Reissues, see below

| Large Fee Code    | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description   |
|-------------------|-----------------|----------------|-----------------|---|
| 103               | 18              | 203            | 9               | Claims in excess of 20                                    |
| 102               | 78              | 202            | 39              | Independent claims in excess of 3                         |
| 104               | 260             | 204            | 130             | Multiple dependent claim                                  |
| 108               | 78              | 209            | 39              | **Reissue independent claims over original patent         |
| 110               | 18              | 210            | 9               | **Reissue claims in excess of 20 and over original patent |
| SUBTOTAL (2) (\$) |                 |                |                 |   |

0.00

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description  | Fee Paid |
|----------------|-----------------|----------------|-----------------|--|----------|
| 105            | 130             | 205            | 65              | Surcharge - late filing fee  | 65.00    |
| 127            | 50              | 227            | 25              | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139            | 130             | 139            | 130             | Non-English specification  |          |
| 147            | 2,520           | 147            | 2,520           | For filing a request for reexamination                                     |          |
| 112            | 920*            | 112            | 920*            | Requesting publication of SIR prior to Examiner action                     |          |
| 113            | 1,840*          | 113            | 1,840*          | Requesting publication of SIR after Examiner action                        |          |
| 115            | 110             | 215            | 55              | Extension for reply within first month                                     |          |
| 116            | 380             | 216            | 190             | Extension for reply within second month                                    |          |
| 117            | 870             | 217            | 435             | Extension for reply within third month                                     |          |
| 118            | 1,360           | 218            | 680             | Extension for reply within fourth month                                    |          |
| 128            | 1,850           | 228            | 925             | Extension for reply within fifth month                                     |          |
| 119            | 300             | 219            | 150             | Notice of Appeal   |          |
| 120            | 300             | 220            | 150             | Filing a brief in support of an appeal                                     |          |
| 121            | 260             | 221            | 130             | Request for oral hearing   |          |
| 138            | 1,510           | 138            | 1,510           | Petition to institute a public use proceeding                              |          |
| 140            | 110             | 240            | 55              | Petition to revive - unavoidable   |          |
| 141            | 1,210           | 241            | 605             | Petition to revive - unintentional   |          |
| 142            | 1,210           | 242            | 605             | Utility issue fee (or reissue)   |          |
| 143            | 430             | 243            | 215             | Design issue fee   |          |
| 144            | 580             | 244            | 290             | Plant issue fee  |          |
| 122            | 130             | 122            | 130             | Petitions to the Commissioner  |          |
| 123            | 50              | 123            | 50              | Petitions related to provisional applications                              |          |
| 126            | 240             | 126            | 240             | Submission of Information Disclosure Stmt                                  |          |
| 581            | 40              | 581            | 40              | Recording each patent assignment per property (times number of properties) |          |
| 146            | 760             | 246            | 380             | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 149            | 760             | 249            | 380             | For each additional invention to be examined (37 CFR 1.129(b))             |          |

Other fee (specify):

Other fee (specify):

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 65.00)

**SUBMITTED BY**

Typed or Printed Name

Stephen G. Whiteside

Signature



Date

3/17/00

**Complete (if applicable)**

Reg. Number

42,224

Deposit Acct. User ID

19-0036

**WARNING:**  
Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



## UNITED STATES DEPARTMENT OF COMMERCE

## Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER 09/449,631

FILING/RECEIPT DATE 11/30/99

FIRST NAMED APPLICANT RENNER

ATTORNEY DOCKET NO./TITLE

09/449,631

11/30/99

RENNER

W 1700.0030002

0262/0106

STERNE KESSLER GOLDSTEIN & FOX PLLC  
SUITE 600  
1100 NEW YORK AVENUE NW  
WASHINGTON DC 20005-3934

NOT ASSIGNED

1646

DATE MAILED:

01/06/00

NOTICE TO FILE MISSING PARTS OF APPLICATION  
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$ 65.

- ☐ 1. The statutory basic filing fee is:  
☐ missing.  
☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

☐ is missing or unsigned.

☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the reply.

Customer Service Center  
Initial Patent Examination Division (703) 308-1202